

## TABLE OF CONTENTS

APPROVAL PAGE UNDERGRADUATE RESEARCH THESIS .....	ii
STATEMENT OF ANTI-PLAGIARISM.....	iii
PREFACE .....	iv
LIST OF FIGURES .....	vii
LIST OF TABLES .....	ix
ABSTRACT.....	x
INTISARI.....	xi
CHAPTER I INTRODUCTION .....	1
1.1 Background.....	1
1.2 Problem Statement .....	2
1.3 Research Objectives.....	3
1.4 Scope and Limitation .....	3
1.5 Benefits of Research.....	4
CHAPTER II LITERATURE REVIEW.....	6
CHAPTER III RESEARCH METHODOLOGY .....	14
3.1 System Analysis .....	14
3.2 Research Tools and Materials .....	15
3.3 Research Procedures.....	16
3.3.1 Data acquisition and preprocessing .....	17
3.3.2 Baseline SOC estimation methods.....	20
3.3.3 Model development and training .....	22
3.3.4 Model validation and evaluation.....	24
3.4 Comparative Analysis and Discussion .....	24
CHAPTER IV SYSTEM ANALYSIS AND DESIGN .....	26
4.1 Data Acquisition and Preprocessing.....	26
4.1.1 LG 18650HG2 dataset acquisition.....	26
4.1.2 Data parsing .....	27
4.2 Model Development and Training.....	35
4.2.1 Data preparation for model training.....	35
4.2.2 CNN model architecture .....	38

4.2.3	Hyperparameter tuning.....	41
4.2.4	Model training.....	43
4.3	Model Validation and Evaluation.....	44
4.3.1	Model testing.....	44
4.3.2	Model visualization.....	45
CHAPTER V RESULTS AND DISCUSSION .....		48
5.1	Coulomb Counting Prediction Results .....	50
5.1.1	Coulomb Counting raw .....	50
5.1.2	Coulomb Counting parsed .....	56
5.2	OCV Prediction Results .....	62
5.2.1	OCV raw .....	62
5.2.2	OCV parsed.....	68
5.3	Comparative Analysis and Discussion .....	74
5.3.1	SOC prediction accuracy.....	75
5.3.2	Training time.....	79
CHAPTER VI CONCLUSION .....		81
6.1.	Conclusion.....	81
6.2	Suggestions.....	81
BIBLIOGRAPHY .....		82

## LIST OF FIGURES

Figure 2.1 OCV-SOC relationship at different temperatures (Zhang et al., 2018). .....	9
Figure 2.2 Estimation errors of various Coulomb Counting methods .....	10
Figure 3.1 Global flowchart.....	16
Figure 3.2 Data acquisition and preprocessing flowchart .....	17
Figure 3.3 Baseline SOC estimation methods flowchart .....	20
Figure 3.4 Model development and training flowchart.....	22
Figure 3.5 CNN architecture block diagram.....	23
Figure 3.6 Model validation and evaluation flowchart .....	24
Figure 4.1 Libraries for data parsing.....	26
Figure 4.2 Directory setup.....	26
Figure 4.3 Function to parse raw data .....	27
Figure 4.4 Function to generate and save plot .....	28
Figure 4.5 Function to get OCV value.....	29
Figure 4.6 Function to get Coulomb Counting value.....	29
Figure 4.7 Function to process c20 files .....	30
Figure 4.8 Function to get initial SOC value .....	31
Figure 4.9 Function to process files in a single execution .....	31
Figure 4.10 Main execution for data_parsing.py .....	34
Figure 4.11 Load and process data.....	36
Figure 4.12 Class to stores battery data .....	37
Figure 4.13 CNN model architecture.....	38
Figure 4.14 Function to create training loop .....	39

Figure 4.15 Model summary implementation.....	40
Figure 4.16 Model summary .....	40
Figure 4.17 Hyperparameter tuning .....	41
Figure 4.18 Model training .....	43
Figure 4.19 Model testing .....	44
Figure 4.20 Model testing result plotting.....	45
Figure 4.21 Model visualization .....	46
Figure 5.1 Model training results .....	49
Figure 5.2 Test result 1 (10 deg C).....	50
Figure 5.3 Test result 2 (40 deg C) .....	51
Figure 5.4 Test result 3 (n10 deg C) .....	52
Figure 5.5 Test result 4 (n20 deg C) .....	53
Figure 5.6 Test result 5 (n20 deg C) .....	55
Figure 5.7 Test result 1 (0 deg C).....	56
Figure 5.8 Test result 2 (0 deg C) .....	58
Figure 5.9 Test result 3 (25 deg C) .....	59
Figure 5.10 Test result 4 (25 deg C) .....	60
Figure 5.11 Test result 5 (n10 deg C) .....	61
Figure 5.12 Test result 1 (25 deg C).....	63
Figure 5.13 Test result 2 (25 deg C) .....	64
Figure 5.14 Test result 3 (40 deg C) .....	65
Figure 5.15 Test result 4 (n10 deg C) .....	66
Figure 5.16 Test result 5 (n10 deg C) .....	67
Figure 5.17 Test result 1 (10 deg C).....	69
Figure 5.18 Test result 2 (25 deg C) .....	70
Figure 5.19 Test result 3 (25 deg C) .....	71
Figure 5.20 Test result 4 (40 deg C) .....	72
Figure 5.21 Test result 5 (40 deg C) .....	73
Figure 5.22 Predicted SOC vs Methods Referenced SOC graphs. ....	77

## LIST OF TABLES

Table 2.1 The comparison of all estimations and their advantages and disadvantages (Zhang et al., 2020) .....	6
Table 2.2 Literature review conclusion.....	10
Table 3.2 Tools needed for the research. ....	16
Table 3.3 Model and dataset .....	18
Table 3.4 Sample Data from the LG 18650HG2 Lithium-Ion Battery Dataset .....	19
Table 4.1 Best hyperparameters .....	42
Table 5.1 Validation and testing split .....	50
Table 5.2 Validation and testing split. ....	56
Table 5.3 Validation and testing split. ....	62
Table 5.4 Validation and testing split. ....	68
Table 5.5 Accuracy comparison.....	75
Table 5.6 Training time comparison .....	79